

17810-513 (SCI-13)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : ANDERS BJÖRKLUND  
SERIAL NUMBER : 09/693,043 EXAMINER : Not Yet Assigned  
FILING DATE : October 20, 2000 ART UNIT : 1632  
FOR : METHODS FOR INDUCING IN VIVO PROLIFERATION AND  
MIGRATION OF TRANSPLANTED PROGENITOR CELLS IN THE BRAIN

June 18, 2000

**Box MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice To File Missing Parts mailed December 20, 2000, Applicants submit herein a copy of Form PTO-1533, executed Combined Declaration and Power of Attorney, Statement Claiming Small Entity Status, check in the amount of \$65.00 to cover the surcharge, Petition for Extension of Time, check in the amount of \$695.00 to cover cost of extension fee, Request for Corrected Filing Receipt, and copy of Filing Receipt marked to show corrections. This response is due on or before June 20, 2001, with extension. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 17810-513 (SCI-13).

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicants

c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

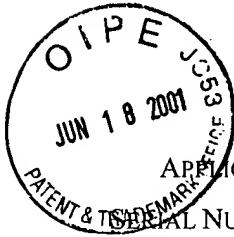
Tel: (617) 542-6000

Fax: (617) 542-2241

Express Mail Label No.: EL585245827US

Date of Deposit: June 18, 2001

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June 18, 2001  
Boston, Massachusetts

BOX Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

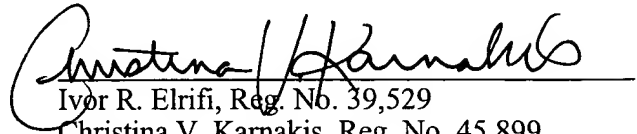
Transmitted herewith for filing in the present application are the following documents:

- ☒ Notice to File Missing Parts of Nonprovisional Application;
- ☒ Response to Missing Parts (1 page);
- ☒ Check No. 9234 in the amount of \$65.00 to cover the surcharge;
- ☒ Statement Claiming Small Entity Status (2 pages);
- ☒ Combined Declaration and Power of Attorney for Patent Application (3 pages);
- ☒ Petition for Extension of Time (1 pg.);
- ☒ Check No. 9233 in the amount of \$695.00 to cover extension fee;
- ☒ Request for Corrected Filing Receipt (2 pgs.);
- ☒ Copy of Filing Receipt marked to show corrections (1 pg.); and
- ☒ Return Postcard.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 17810-513 (SCI-13)). A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Christina V. Karnakis", is written over a horizontal line.

Ivor R. Elrifi, Reg. No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicants

c/o MINTZ, LEVIN

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Boston, Massachusetts 02111

Tel: (617) 542-6000

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Date of Deposit: June 18, 2001

Page 1 of 1

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/693,043	10/20/2000	Rosemary A. Fricker	17810-513 (SCI-13)

MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

**FORMALITIES LETTER**



Date Mailed: 12/20/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

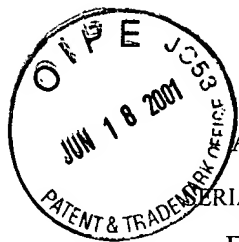
PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/22/2001 AZERGAW1 00000032 09693043

01 FC:205

65.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICANTS : Anders Björklund

SERIAL NUMBER : 09/693,043

EXAMINER : Not yet assigned

FILING DATE : October 20, 2000

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June 18, 2001  
Boston, Massachusetts

Office of Initial Patent Examination's  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are:
  - ☒ incorrectly entered
  - and/or*
  - ☒ omitted.

<i>Error in</i>		<i>Correct data</i>
1.	<input checked="" type="checkbox"/> Applicants' name	Anders Björklund
2.	<input checked="" type="checkbox"/> Applicants' address	Lund, Sweden
3.	<input type="checkbox"/> Title	
4.	<input type="checkbox"/> Filing Date	
5.	<input checked="" type="checkbox"/> Attorney's Name	Ivor R. Elrifi
5.	<input type="checkbox"/> Serial Number	

Applicants: Anders Björklund  
U.S.S.N. 09/693,043

**Error in**

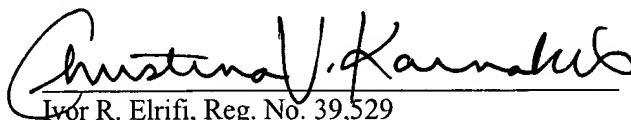
6. ☒ Other: Priority Information

**Correct data**

THIS APPLICATION CLAIMS BENEFIT OF  
60/160,553, 10/20/1999; IS A CIP OF 09/339,093,  
06/23/1999, WHICH IS A DIV OF 08/926,313,  
09/05/1997, PAT 5,968,829; IS A CIP OF 09/486,302,  
2/24/2000, AND IS A CIP OF PCT/US98/18597,  
09/04/1998.

3. ☒ Although applicants believe that no fee is due with this filing, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Ref. No. 17810-513 (SCI-13).

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529  
Christina V. Karnakis, Reg. No. 45,899  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241

TRADOCS:1421552.1(%GVK01!.DOC)



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/693,043	10/20/2000	1632	355	17810-513 (SCI-13)	8	12	2

*Ivor R. ELRIFI, Esq*

MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

FILING RECEIPT



\*OC000000005632105\*

Date Mailed: 12/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) *ANDERS BJORKLUND*

Rosemary A. Fricker, Residence Not Provided; *LUND, SWEDEN*

Continuing Data as Claimed by Applicant *CLAIMS BENEFIT OF 60/160,553, 10/20/1999;*

THIS APPLICATION IS A CIP OF 09/339,093 06/23/1999  
WHICH IS A CIP OF 09/486,302 10/16/2000 A DIV OF 08/926,313, 09/05/1997,  
WHICH IS A 371 OF PCT/US98/18597 09/04/1998 PAT. 5,968,829, IS A CIP OF  
WHICH CLAIMS BENEFIT OF 08/926,313 09/05/1997 PAT 5,968,829 *09/486,302, 2/24/2000;*

Foreign Applications

If Required, Foreign Filing License Granted 12/18/2000

\*\* SMALL ENTITY \*\*

Title

Methods for inducing in vivo proliferation and migration of transplanted progenitor cells in the brain

Preliminary Class

435

JAN 02 2001

MINTZ LEVIN, BOSTON  
PATENT DOCKET DEPT.

<input type="checkbox"/> File Folder
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